## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

PPLICATION NUMBER

TWO EMBARCADERO CENTER

SAN FRANCISCO, CA 94111-3834

OWNSEND AND TOWNSEND AND CREW

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

Page 1 of 1

09/944,454

EIGHTH FLOOR

08/30/2001

Akio Fukushima

16869S-033500US

**CONFIRMATION NO. 2863** 

FORMALITIES LETTER 

OC000000006848124\*

ORIGINALLY FILED

Date Mailed: 10/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/25/2002 ANABI1

00000010 201430 09944454

01 FC:105

130.00 CH

TDANS			Application Number	09/944,454		
E IRANS	SMITTAL	- [	Filing Date	August 30, 2001		
FORM			First Named Inventor	Fukushima, Akio		
(to be used for all correspondence after initial		nitial filing) (	Group Art Unit			
		[	Examiner Name			
Total Number of Pages in This Submission			Attorney Docket Number	16869S-033500US		
		ENCLOS	URES (check all that apply)			
Fee Transmittal For	n	Assignme	ent Papers olication)	After Allowance Communication to		
Fee Attached		☐ Drawing(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Respo	nse	Licensing	-related Papers	Appeal Communication to Group  Notice, Brief, Reply Brief)		
After Final			outing Slip (PTO/SB/69) mpanying Petition	Proprietary Information		
Affidavits/declara	ation(s)		Convert to a all Application	Status Letter		
Extension of Time R	equest	Power of a	Attorney, Revocation of Correspondence Address	Other Enclosure(s) (please identify below):		
Express Abandonment Request		☐ Terminal Disclaimer ☐ Request for Refund		Declaration/Power of Attorney [attato copy of specification]		
☐ Information Disclosu	re Statement			2. Copy Notice		
		CD, Number of CD(s)		3. Supplemental ADS		
				4. Return Postcard		
Certified Copy of Price Document(s) (2)	ority	Remarks	The Commissioner in Deposit Account 20-	s authorized to charge any additional fee 1430.		
Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53		Pursuant to th October 4, 20 above-identifie	01, the enclosures listed on	ts of Nonprovisional Application, dated this sheet are to be made of record in the		
	SIGNA	TURE OF AP	PLICANT, ATTORNEY,	OR AGENT		
and	ownsend and Too obert C. Colwell	wnsend and Cre		No. 27,431		
Signature	Rebui C. Colwell					
Date D	ecember 4, 2001					
		CERT	FICATE OF MAILING			
I hereby certify that this c class mail in an envelope	correspondence is addressed to: A	s being deposite ssistant Commis	d with the United States Possioner for Patents, Washing	stal Service with sufficient postage as firs ston, D.C. 20231 on this date: December 4, 2001		
Typed or printed name	Margaret K. S	· · · · · · · · · · · · · · · · · · ·				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT-SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PA 3187930 v1

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision.

	Complete if Known	
Application Number	09/944,454	O <sub>x</sub>
Filing Date	August 30, 2001	
First Named Inventor	Fukushima, Akio	
Examiner Name		
Group Art Unit		
	400000 000500110	

TOTAL AMOUNT OF PAYMENT (\$) 170						Attorney Docket No. 16869S-033500US					
			D OF PAYMEN						FEE C	ALCULATION (continued)	
1. 🛛			sioner is hereby s and credit any			3. ADI	DITIONAL	. FEES			
1. Deposit Account  20-1430						Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Number				105	130	205	65	Surcharge - late filing fee or oath	130		
Deposit					127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Account Townsend and Townsend and Crew LLP					139	130	139	130	Non-English specification		
Name					147	2,520	147	2,520	For filing a request for reexamination		
☑ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17					112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
Applicant claims small entity status. See 37 CFR 1.27					113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
2.   Paym	nent Encl	osea:				115	110	215	55	Extension for reply within first month	
☐ Check ☐ Credit card ☐ Money ☐ Other Order					116	400	216	200	Extension for reply within second month		
FEE CALCULATION					117	920	217	460	Extension for reply within third month		
1. BASIC	FILING FI		ALCOLATIO			118	1,440	218	720	Extension for reply within fourth month	-
.arge Entity	Small	Entity				128	1,960	228	980	Extension for reply within fifth month	
ee Fee	Fee	Fee	Fee Description	on		119	320	219	160	Notice of Appeal	
ode (\$)	Code	(\$)	14/11/4 - 511 5	:	Fee Paid	120	320	220	160	Filing a brief in support of an appeal	
01 740	201	370	Utility filing fee	ş	<b> </b>	121	280	221	140	Request for oral hearing	
06 330 07 510	206 207	165 255	Design filing fe Plant filing fee		<del></del>	138	1,510	138	1,510	Petition to institute a public use proceeding	
08 740	208	370	Reissue filing f	iee l		140	110	240	55	Petition to revive – unavoidable	
14 160	214	80	Provisional filin	ng fee		141	1,280	241	640	Petition to revive – unintentional	
	e			,		142	1,280	242	640	Utility issue fee (or reissue)	
	31	UBTOTA	L (1)	ŧ	(\$)	143	460	243	230	Design issue fee	
. EXTRA CLA	IM FEES	,				144	620	244	310	Plant issue fee	
				ee from	Fee	122	130	122	130	Petitions to the Commissioner	
otal Claims	-20		Claims b	pelow :	Paid =	123	50	123	50	Petitions related to provisional applications	
dependent aims	-3*	• =	×		=	126	180	126	180	Submission of Information Disclosure Stmt	
ultiple ependent		_	×[		=	581	40	581	40	Recording each patent assignment per property (times number of properties)	40
Large Entit Fee Fee	-	Entit Fee	•			146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code (\$)	Code	(\$)	-	-		149	740	249	370	For each additional invention to be	
103 18	203	9	Claims in ex			1				examined (37 CFR § 1.129(b))	
102 84 104 280	202 204	42 140	•	nt claims in ex		179	740	279	370 8	Request for Continued Examination (RCE)	
104 280 109 84	209	42	** Reissue i	pendent clain independent		169	900	169		Request for expedited examination of a design application	
110 18	210	9	original pate " Reissue o over original	claims in exc	cess of 20 and	Other fee (specify)					
		s	SUBTOTAL (2)	(\$)		The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.					
**or number prev	iously paid,	, if greate	r; For Reissues,	see above	<del></del>	*Reduc	ed by Bas	sic Filing	Fee Pa	id SUBTOTAL (3) (\$)170	

SUBMITTED BY				Co	mplete (if applicable)
Name (Print/Type)	Robert C. Colwell	Registration No. (Attorney/Agent)	27,431	Telephone	650-326-2400
Signature	(letur	C Columbia		Date	December 4, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PA 3187934 v1